

# NewRiver and Miningham & Oellerich

Delivering EDGAR-sourced data and documents to independent advisors

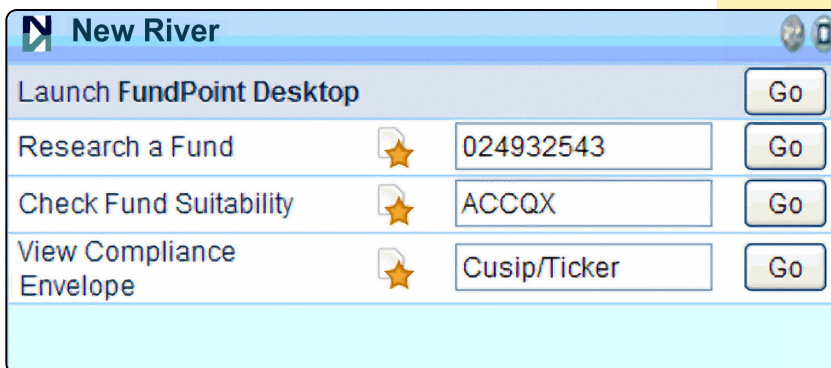
## Challenge:

A leading broker/dealer needed to provide a breakpoint suitability tool to advisors, as well as mutual fund research and easy access to regulatory documents.

## Solution:

NewRiver and Miningham & Oellerich (M&O) have partnered to integrate NewRiver's FundPOINT® Desktop including FundPOINT Share Class Analyzer, directly into M&O's MyView portal, part of the Serve Me! Suite.

By having one-click access to FundPOINT® Desktop, advisors using M&O's Serve Me! Suite can sell more funds in less time and at lower cost, moving away from a costly paper-based mutual fund transaction processing model to a more streamlined electronic straight-through-processing (STP) model. Advisors and back office personnel can research the **industry's most accurate fund database** and take advantage of NewRiver's FundPOINT **Share Class Analyzer** to assess the appropriate share class of funds that best meets the investor's needs. Independent advisors have electronic access to the same information contained in a prospectus, allowing them to **research funds in real time** and avoid the burden of looking through multiple pages to find what they need.



New River			
Launch FundPoint Desktop			Go
Research a Fund	★	024932543	Go
Check Fund Suitability	★	ACCQX	Go
View Compliance Envelope	★	Cusip/Ticker	Go

## Features include:

- Save list of frequently-sold funds for quick and easy access
- Access detailed policy information on each fund
- Access EDGAR-sourced disclosure documents for each fund such as the summary prospectus

## Serve Me! Suite

M&O's Serve Me! Suite, the leading system for bank affiliated broker-dealers with insurance, managed account, securities and financial planning offerings, can help your organization achieve and sustain breakthrough continuous improvements in service, operational costs, and performance. M&O provides contemporary and adaptive technology solutions for compensation, accounting, client account management and branch productivity tools all on one platform or in concert with our your existing technology assets. Our solutions are delivered through professional consulting services by a dedicated staff and experienced management team that assures our products excel amid the constant evolution of technology, business practices and regulation.

Serve Me! Suite	Registered Representative	Marketing	Recruiting Department	Operations	Finance & Accounting	Compliance
Advisor Practice Management	•	•	•			
Books & Records		•	•	•	•	•
Supervision & Compliance			•	•	•	•
Commissions & Compensation	•	•	•	•	•	•
Finance & Accounting				•	•	•
Reporting & Queries	•	•	•	•	•	•
Central Information	•	•	•	•	•	•

## Miningham & Oellerich

For more than thirty years, Miningham & Oellerich, Inc. (M&O) has been the technology partner that leading institutional broker-dealers, banks and insurance companies have relied upon for innovative and effective enterprise data management solutions. By working closely with top financial service companies, M&O has amassed unparalleled insights into the systemic, organizational and regulatory challenges facing the industry. These insights have resulted in M&O's creation of integrated, best-of-breed software solutions enabling clients to significantly improve performance, grow business, understand revenue sources fueling success and seamlessly comply with regulatory requirements.

### Contact Miningham & Oellerich

Call: 212-542-4300

Website: [www.serveme.com](http://www.serveme.com)

## Your obligation, our business.

NewRiver helps organizations "raise the bar" on investor disclosure and transaction quality with products that are focused on the critical challenge faced by financial services firms – how to achieve continuous improvement in a highly regulated industry. No other company unlocks the value of compliance filings for mutual fund resellers so efficiently and in so many ways as NewRiver's suite of products. Because you provide your organization and investors with information from the most authoritative source, the SEC's EDGAR database, you can improve sales and operations while reducing business risk. We've been helping 200 clients do this for over 13 years. Let us help you today.

### Contact NewRiver

Call: Toll-free at 1-800-481-2331 or 978-247-7200

Email: NewRiver Sales at [sales@newriver.com](mailto:sales@newriver.com)

Websites: [www.newriver.com](http://www.newriver.com) or [www.summaryprospectus.com](http://www.summaryprospectus.com)