



Automated publishing of branded retirement plan portals for every employer

As retirement plan providers offer more plans and a wider selection of funds, the challenge of delivering timely, cost-effective, and relevant participant communication becomes compounded. Additionally, as employer-based plans move from defined benefit to defined contribution, participants increasingly expect to manage their retirement and investment assets online.

Large plans have always enjoyed the best that providers could offer in participant communications, including custom online portals for their participants. So how do you extend the online experience cost-effectively to the full range of employers you service?

Delivering Branded, Plan-Specific and Compliant Information on the Web

With employer microsites, NewRiver has automated the process of publishing plan-specific details to the Web – including branding each site for the employer or institution. As a result, you can now:

- Meet growing participant expectations for online access to retirement plan details;
- Increase profitability by promoting online enrollment and shortening time-to-assets; and
- Reduce dependence on expensive printed materials.

In the past year alone, NewRiver has implemented thousands of custom microsites for one of the industry's largest plan providers. NewRiver Microsites can be configured to support a wide range of content, data, and functionality, depending on client needs. NewRiver combines innovation, flexibility, and automation to make NewRiver Microsites a powerful, yet low-cost Web-communications solution.

NewRiver Microsites provide a custom-branded solution with plan-specific information to enhance the investor experience and facilitate the path to online enrollment.

Who Are NewRiver Microsites Designed for?

- **Retirement Plan Providers** – who want to provide detailed, employer-specific retirement websites to a minimum of 150 plan sponsors, and accommodating up to several thousand plan sponsors.
- **Third-Party Administrators (TPAs)** – who want to offer clients the ability to provide detailed retirement portals to each of their plan sponsors

Optimize Your Document Delivery in Print and on the Web

NewRiver Microsites are just one way to build cost-efficiency and quality compliance into your investor communications.

Add NewRiver's **Intelligent Delivery Services**[®] to distribute prospectuses, supplements, and semi-annual and annual reports in print and electronically for the most cost-effective way to meet 404(c) "safe harbor" compliance requirements for investor document delivery.

Combine with **EnrollComplete**SM to consolidate all your print and Web communications on a single publishing platform with a central database of content rules and plan logic.



NEWRIVER.

Partners in Electronic Compliance and
Intelligent Document Fulfillment

Benefits to Your Firm

- **Enhance Participant Experience** – NewRiver Microsites provide employer-specific plan details, fund fact sheets, performance summaries, forms, and compliance documents conveniently online where investors increasingly prefer to receive their investment information.
- **Reduce Costs** – NewRiver Microsites help draw prospective participants to the Web where information is easily accessible and publishing costs are lower than traditional print.
- **Promote Online Enrollment** – NewRiver Microsites provide a direct path to your secure website for online enrollment to maximize plan participation while minimizing enrollment communication costs.
- **Assure Compliant Documents** – All NewRiver Microsite pages with fund information contain links to online prospectuses, thereby making you 404(c)-compliant for investor document delivery.

Coverage

- All U.S. open-end mutual funds
- All U.S. exchange-traded funds (ETFs)
- 529 college savings plans
- Variable annuity subaccounts
- Variable life and variable universal life subaccounts

Features

- **Daily EDGAR Updates** – patented process technology incorporates the latest SEC filings from EDGAR each day, ensuring timely and accurate information
- **Web-Based Fund Management** – includes self-service fund and disclosure management tools to help clients administer the master fund list, the custom disclaimer language, and associated display rules for all communications
- **Content Personalized for Every Plan** – plan overviews, details, investment options, and educational content for every plan driven by simple data entry
- **Branded By Institution** – dynamically incorporates employer logos for hundreds or thousands of automated microsites
- **Centralized Content Management** – navigation, plan details, and all fund-related content centrally managed and published from one data source
- **Scalability** – easily accommodates new funds and publishes new sites on demand from a convenient Web interface
- **Flexible Systems Architecture** – a data-driven XML solution, whose architecture is extremely flexible and adapts to most client needs
- **Quality Customer Service** – availability of NewRiver's Customer Support and Customer Care programs for technical support and ongoing assistance after implementation

About NewRiver, Inc.

NewRiver, Inc. is the global leader for electronic compliance and intelligent document services for the financial brokerage and retirement industries. NewRiver's solutions enable brokerages, retirement plan providers, variable annuity providers, and retirement plan recordkeepers to dramatically decrease operational expense, to strengthen customer and business partner relationships, and to improve the productivity of their employees. NewRiver currently serves more than 100 top financial companies, including Allianz/USAllianz Securities; Coates Analytics; E*Trade Securities; First Allied Securities; Lincoln Financial Group; MFS Investment Management; Morgan Stanley; Park Avenue Securities, an indirect Wholly-Owned Subsidiary of The Guardian Life Insurance Company of America; Prudential Financial; Southwest Securities; True North Financial Services; UBS PaineWebber, and Wachovia Securities. NewRiver is headquartered in Andover, Massachusetts.

For more information, please visit www.newriver.com